

PTC INDIA LIMITED

(Formerly known as Power Trading Corporation of India Limited)



Invites

Expression of Interest (EOI)

For

Empanelment of Consultants / Firms

No: BD/C/2022-23/01 & Dated: 18-10-2022

PTC India Limited

(Formerly known as Power Trading Corporation of India Limited)

CIN : L40105DL1999PLC099328

2nd Floor, NBCC Tower, 15 Bhikaji Cama Place New Delhi - 110 066 Tel: 011- 41659500, 41595100, 41595113 (D), Fax: 011-41659144

E-mail: info@ptcindia.com; Website: www.ptcindia.com

DISCLAIMER

1. This Expression of Interest (EOI) document is not an agreement or offer by the issuer to the prospective Participants or any other party.
2. This EOI document does not guarantee conversion of this EOI into any definitive agreement. PTC in its sole discretion may reject any and all proposals made by the Participants, may change the conditions relating to the EOI, or cancel this EOI at any time without assigning any reason. PTC will have no obligation/liability towards anyone in the event of cancellation of this EOI.
3. While this EOI document has been prepared in good faith, neither the issuer nor its employees make any representation or warranty express or implied as to the accuracy, reliability or completeness of the information contained in this EOI document.
4. Neither the PTC nor any of its employees will have any liability to any Participant or any other person under the law of contract, tort, the principles of restitution or unjust enrichment, or otherwise for any loss, expense, or damage which may arise from or be incurred or suffered in connection with anything contained in this EOI document, any matter deemed to form part of this EOI document, the process, the information supplied by or on behalf of PTC or its employees, or otherwise arising in any way from the selection process for the said supply of power.
5. PTC reserves the right to accept or reject any or all applications, and cancel/withdraw the EOI process without assigning any reason whatsoever and in such case, Participant shall not have any claim arising out of such action.

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1. About PTC India Limited

PTC India Limited (PTC) an initiative of **Ministry of Power, Govt. of India** was established in the year 1999 with an objective to facilitate development of power projects and creation of power markets for optimization of energy resources. **The company is promoted by four PSUs in power sector, namely - National Thermal Power Corporation (NTPC), Power Grid Corporation of India Limited (PGCIL), Power Finance Corporation (PFC) and National Hydro Power Corporation (NHPC).** The company got listed in 2004 at NSE and BSE. The Board of Directors of PTC consist of Nominee Directors of each promoter company, Joint Secretary (Ministry of Power), and retired Government Secretaries. PTC, with trading volume of **87 BUs (FY 2021-22)** is pioneer in electricity trading in the country and consistently commands around **45% of power market**, thus maintaining market leadership. PTC has in its portfolio Long-term Power Purchase Agreements (PPAs) with generators in India & cross border for a cumulative capacity of about 10 GW.

PTC is providing consultancy/ advisory solutions across energy sector in commercial, financial, technical, operational & regulatory spheres. PTC is managing the Power Distribution Operations activities for various SEZs, and Major Ports including MPIDC, GIFT Power Company Ltd., Deen Dayal Port Authority (DPA), Mumbai Port Authority (MbPA), Paradip Port Authority etc. PTC is also providing Asset Management services for various clients. Currently, the focus area of consultancy business are: Power Distribution Management Services, Energy Efficiency & Energy Audit, Renewable Energy, Power Portfolio Management, PMC services etc.

2. Scope of Work

2.1. Preamble

PTC India Limited (PTC) is rapidly expanding its consultancy business into various Power Sector domains and keen to expand further across the identified areas mentioned below. PTC intends to empanel Business Partners/ Consultants to support PTC in expanding it's Consultancy Business.

2.2. Identified Areas

- Power Distribution Management
- Energy Efficiency & Energy Audit
- Project Management Consultancy
- Transmission and infra based services

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- Facility Management services
- Power Portfolio Management
- Battery Energy Storage System (BESS)
- E-mobility
- Green Hydrogen
- Regulatory & Policy
- Renewable Energy Solutions
- Carbon Trading
- Climate Change
- Flexible Operations of Thermal Power Plant
- Capacity Building

2.3. Scope & Responsibilities of empaneled consultants

- To submit the technical & financial proposals for each request raised by PTC within stipulated time.
- To support PTC in submission of bids for specific opportunities on a timely manner.
- To ensure submission of accurate information while participating in consortium with PTC and shall be responsible for any inaccurate or incorrect information furnished. Further, the empaneled consultant shall solely bear the consequences of any such errors / inaccuracies.
- To assist in execution of assignment/project as per mutually agreed scope, on timely manner.
- To present / discuss the outputs / deliverables with client(s) and obtain acceptance.
- To facilitate submission of invoices and payment realization from client(s)
- To ensure fulfilment of regulatory compliances and licenses for the services being offered for. In this regard Empaneled Consultant shall indemnify PTC against any non-compliance and submit a declaration as per Annexure-3 on the company letter head duly signed by authorized signatory. Annexure-3 is attached.

3. Criteria for evaluation & empanelment

The participants shall be evaluated under two categories i.e. Firms and Individual Consultants, based on the criteria mentioned below:

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Criteria for Firms:

S. No	Qualification Criteria	Reference Details/ Documentary Evidence
1	The participant shall be Public / Private Limited Company, partnership firm / LLP or any other incorporated entity in India as per Company's Act 2013 and its amendments and should be in operation for last 2 years.	Certificate of Incorporation / other relevant document/ Partnership Deed/Memorandum and Article of Association
2	Successful Execution of similar orders in the relevant field	Attach PO's/work orders/certificates from customers specifying "completion" or "satisfactory work in progress" and contact details of the customer for reference.
3	The participant shall have at least 10 active professionals on company payroll	Attach CVs and certificate from Authorized signatory on company letterhead
4	The participant is not blacklisted by any of the Central / State Departments/ undertakings in the past 2 years.	Self-Declaration on Company letterhead
5	The participant shall have minimum turnover as per below categories: a) Category I: Rs. 50 Lakh to Rs. 5 Cr. b) Category II: Rs. 5 Cr to Rs. 25 Cr. c) Category III: Above Rs. 25 Cr.	CA certificate indicating turnover and net worth along with copy of audited financial statements for the last 2 financial year
6	The participant must have positive net worth in previous financial year	
7	The participant should have GST registration.	GST Registration certificate / copies

Criteria for Individual Consultants

S. No	Pre-Qualification Criteria	Reference Details/ Documentary Evidence
1	The participant should have experience of more than 15 years in the relevant field. Officials Retired from Central/State Departments /CPSUs/SPSUs/ Autonomous Bodies are encouraged to participate.	Participant is required to submit an undertaking on their employment status: Individual consultant/ Freelancers/Retired and not employed in any organisation on full time basis.

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		Signed copy of Profile/ CV is required to be submitted
2	The participant is not blacklisted by any of the Central/ State Departments/ undertakings in the past 2 years.	Self-Declaration

4. Information to Participants

4.1. Submission of Response to EoI

- a) EoI can be downloaded from www.ptcindia.com.
- b) Interested participants are required to submit their response on or before **5 PM on 11.11.2022** to the following address:
Mr. Baskaran Subramanian,
Senior Vice President (BD & Consultancy)
PTC India Limited,
2nd Floor, 15 Bhikaji Cama Place, New Delhi - 110066
- c) The participants are also required to submit Soft copies of the response to EoI at baskaran.subramanian@ptcindia.com before deadline.
- d) The participants can send their queries at baskaran.subramanian@ptcindia.com to seek any clarification 5 working days prior to the date of submission.
- e) Any clarifications/amendments to the EoI will be uploaded on website of PTC India : www.ptcindia.com

4.2. Selection/Empanelment of Consultant

- a) The participant shall bear all cost associated with the preparation and submission of the response to this EOI.
- b) An Participant intending to use credentials of its Parent Company/ Associates / Subsidiary Company, shall submit the 1) Power of Attorney 2) Board Resolution 3) Undertaking from the Parent / Associate / Subsidiary Companies for providing required technical and financial support to the participant towards his obligations after empanelment with PTC.
- c) The Participants shall be empaneled based on the information provided in the response of EOI. The Consultants credentials in the relevant field shall be analyzed. List of empaneled Consultant shall be finalized based on the response received on EoI and technical experience

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of the parties. The empaneled consultants will be intimated after the completion of the evaluation process.

- d) The Consultants shall be empaneled based on the Categories identified in Clause 3 i.e. Category I, II, and III and for the identified areas.
- e) Individual Consultant shall be empaneled for the identified areas only (there will not be any category).
- f) PTC reserves the right to verify the particulars furnished by the participant independently. If any of the information, furnished by the participant, is found incorrect at any stage and even after the empanelment of the participant, PTC shall have the right to cancel the empanelment and blacklist the firm for a period of three years.
- g) PTC reserves the right to accept or rejects any or all application (s) without assigning any reasons whatsoever. PTC decision in this regard shall be binding and final.

4.3. Period of Empanelment

- a) The validity period of empanelment will be 3 years from the date of Letter of Intent.
- b) The period of empanelment may be extended YoY basis as mutually agreed between PTC and the empaneled consultant. PTC will review the performances of the Empaneled Consultant on Half-yearly basis taking into account the market potential and development. However, PTC reserves the right to terminate the empanelment for its convenience at any time by giving one month notice.

4.4. Registration Fees:

- a) The firms interested to participate in this EOI shall furnish a non-refundable amount of Rs. 50,000/- (Rs. Fifty Thousand only) + GST as registration fees in favour of PTC under below mentioned details:

Bank Name: ICICI Bank Ltd.

A/c No. : 000705004302

IFSC Code : ICIC0000007

Branch Address: New Delhi Branch, 9A, Phelps, Connaught Place,
New Delhi-110001

- b) The bidder is required to submit Proof of Payment at the time of EOI submission.
- c) There will be no registration fees for Individual Consultant.

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4.5. Professional Fees:

Professional Fees payable to the Consultants shall be decided based on the assigned scope of work mutually agreed between PTC/Firms/Individuals.

4.6. Guideline for preparation of response to this EOI

Participants are requested to follow the below guidelines while preparing their responses EOI.

- a.) The EOI should be in the format provided below. Any EOI not found responsive to the details mentioned in this document may be rejected.

4.7. Amendment to the information document

PTC may, for any reason, whether at their own initiative or in response to a clarification requested by an interested participant, modify this document through amendment. In such case, the following rules will apply:

- a) Any amendment shall be issued in writing through addenda.
- b) Addenda shall be hosted on PTC website. Any Participant who wishes to receive the addenda via email can send an email to the email address indicated in the Notice section requesting for the same.
- c) Any such modification will overrule the original version and previously modified version.
- d) PTC, at its discretion, may extend the deadline for submission of EoI, after considering the materiality of the amendment.

4.8. Confidentiality

The empaneled Consultants and their personnel will not, either during the term or after expiration of this contract, disclose any proprietary or confidential information relating to the services, contract or business or operations of PTC or its clients without the prior written consent of PTC.

4.9. Dispute Resolution, Arbitration and Jurisdiction

In case of any dispute the parties, through respective representatives, shall try to settle the same amicably. In the event any dispute remaining unresolved, the same may be dealt as per the provisions of Arbitration and Reconciliation Act, 1996 and its amendments. The place of arbitration shall be New Delhi and the language used shall be English. The courts in Delhi shall have exclusive

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jurisdiction for the matters related to this empanelment.

4.10. Force Majeure

If at any time, during the continuance of this empanelment, the performance, in whole or part, by either party, of any obligation under this contract shall be prevented or delayed by reason of any war, hostility, act of the public enemy, Civil Commotion, Sabotage, Fires, Floods, Earthquakes, explosions, strikes, epidemics, quarantine restrictions, lockouts, any statute, statutory rules/ regulations, order of requisitions issued by any Government Department or Competent Authority of acts of God (here-in- after referred to as event) then provided notice of the happening of any such event is given by either party to the other within **twenty one** days from the date of occurrence thereof, neither party shall, by reason of such event, be entitled to terminate this Contract nor shall either party have any claim for damage against the other in respect of such non- performance or delay in performance, and the obligations under the Contract shall be resumed as soon as practicable after such event has come to an end or ceased to exist, PROVIDED FURTHER that if the performance in whole or part of any obligation under this Contract is prevented or delayed by reason of any such event beyond a period as mutually agreed to by PTC and the Consultant after any event or 60 days in the absence of such an agreement whichever is more, either party may at its option terminate the Contract provided also that if the contract is so terminated under this clause PTC may at the time of such termination take over from the Consultant at prices as provided for in the contract, all works executed or works under execution.

4.11. Terms and Conditions of Empanelment

- a) The empaneled consultant / firm shall sign an NDA with PTC.
- b) The empaneled consultant shall abide by all terms and conditions of empanelment for the complete period of empanelment.
- c) If the empaneled partner doesn't not respond to the enquiries on three different occasions or express their inability to quote for enquiries, PTC reserves the right to cancel the empanelment.
- d) PTC reserves the right to opt for partnering with any of the empaneled consultant/ firm based on the specific business opportunity on case to case basis.
- e) If the Consultant is unable to quote against any query floated by PTC, Regret letter must be sent, failure to do so repeatedly may result in deletion of the Consultant name from the approved list of Consultant.

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5. Annexures

The response to the EoI should cover following and should reach PTC before the submission Timeline. PTC reserves the right to reject any response received after the mentioned deadline.

- a) The cover letter in the format mentioned in **Annexure-1** should be part of the response of EOI. Duly signed by Authorized Signatory of the Company. The power of Attorney in favor of Authorized Signatory issued by competent authority shall be part of the proposal.
- b) Application must include the EoI Registration fees and supporting documents for transaction is required to be submitted along with the response of EOI.
- c) The participants need to provide all the reference details/documentary evidences required to fulfill the eligibility criteria as applicable. The participant are expected to submit evidences to meet all the eligibility criteria mentioned in Section-3.
- d) The participants need to provide the details of the point of contact who shall be contacted from PTC for any matter pertaining to the empanelment or the EoI process in future.
- e) The participants are required to submit company profile & mandatory information as per **Annexure -2**.
- f) The participants are required to submit declaration of indemnification as per **Annexure - 3**.

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Annexure 1: Cover Letter
(To be on letter head)

Reference No: PTC/EOI/2022-23/

Date:

To,

PTC India Limited
2nd Floor, NBCC Tower, 15 Bhikaji Cama Place,
New Delhi - 110066

Kind Attention:

Dear Sir/Ma'am,

Sub: Empanelment of Consultant

Having examined EoI document bearing the reference number PTC/EOI/2022-23/....., dated.....released by your esteemed organization, we, undersigned, hereby acknowledge that we have understood the contents of the EOI and its terms and conditions. We offer to empanel our organization/Company/Individual as a Consultant in conformity with the said EoI.

If our offer is accepted, we undertake to abide by all the terms and conditions mentioned in the said EoI over the period of empanelment.

We have enclosed a UTR numbers of online transaction in PTC bank account for EOI Empanelment Fee as per section 4.4 in favor of PTC India Ltd. (applicable only for firms)

We hereby declare that all the information and supporting documents furnished as a part of our response to the said EOI, are true to the best of our knowledge. We understand that in case any discrepancy is found in the information submitted by us, our EOI is liable to be rejected.

Date:

Signature with seal -

Name: _____

Designation: _____

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Annexure 2: Company Profile & Mandatory Information

(Duly filled to be submitted along with EOI as First Page / INDEX of the Proposal)

S.No.	Description	Submitted
1	Name of the Company	
2	Power of Attorney duly signed by the competent authority in favor of Authorized Signatory	On stamp paper of applicable value as per the Indian Stamps Act
3	Covering Letter	As per Annexure-2
4	Registration Fees - (Bank Name, UTR Number, Date and IFSC Code)	
5	The participant should be an established firm operating in India for last 2 years. Certificate of Incorporation / Any other relevant document to be submitted.	
6	Positive Net Worth	CA Certified
7	Annual Turn Over	CA Certified
7	GST	GST Registration
8	The participant should not have been blacklisted by any of the PSUs for the past 2 years.	Self-declaration to be submitted. On Letter Head
9	Non-disclosure Agreement (NDA) Format	To be shared after selection for empanelment
10	Status of Participant (Partnership, Public/Private/Individual Company etc.)	

Annexure 3: Declaration of Indemnification

(To be on letter head)

Reference No: PTC/EOI/2022-23/

Date:

To,

PTC India Limited
2nd Floor, NBCC Tower, 15 Bhikaji Cama Place,
New Delhi - 110066

Kind Attention:

Dear Sir/Ma'am,

Sub: Declaration of Indemnification

I/ We, have offered to empanel as a Firm/Consultant in conformity with the terms and conditions of the EoI invited by PTC India., I/We hereby undertake to fulfil all regulatory compliances and License requirements, if any, empanelment as per the requirements of business opportunities in which we would be providing our services to PTC India / client, as the case may be. Further, hereby we declare to indemnify PTC India Limited against all liabilities arising out of non- compliance of regulatory / license requirements on our part.

Date:

Signature with seal -

Name: _____

Designation: _____